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NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

07/21/2005

McDERMOTT, WILL & EMERY 600 13th Street, N.W. Washington, DC 20005-3096

EXAMINER			
PARKIN,	JEFFREY S		
ART UNIT	PAPER NUMBER		

1648

DATE MAILED: 07/21/2005

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/734,206	12/12/2000	Trevor Douglas	50198-154	1984

TITLE OF INVENTION: NANOSCALE PARTICLES SYNTHESIZED WITHIN AN ASSEMBLED VIRION

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$1000	10/21/2005

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.



PART B - FEE(S) TRANSMITTAL



Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

or Fax (571) 273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) 7590 07/21/2005 Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. McDERMOTT, WILL & EMERY 600 13th Street, N.W. Washington, DC 20005-3096 (Depositor's name) (Signature (Date) ATTORNEY DOCKET NO. CONFIRMATION NO. FIRST NAMED INVENTOR APPLICATION NO. FILING DATE 09/734,206 12/12/2000 Trevor Douglas 50198-154 1984 TITLE OF INVENTION: NANOSCALE PARTICLES SYNTHESIZED WITHIN AN ASSEMBLED VIRION **ISSUE FEE PUBLICATION FEE** TOTAL FEE(S) DUE DATE DUE APPLN, TYPE SMALL ENTITY \$1000 10/21/2005 YES \$700 \$300 nonprovisional CLASS-SUBCLASS **EXAMINER** ART UNIT 435-235100 PARKIN, JEFFREY S 1648 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE Individual Corporation or other private group entity Government Please check the appropriate assignee category or categories (will not be printed on the patent): 4a. The following fee(s) are enclosed: 4b. Payment of Fee(s): ☐ Issue Fee A check in the amount of the fee(s) is enclosed. Payment by credit card. Form PTO-2038 is attached. Publication Fee (No small entity discount permitted) Advance Order - # of Copies The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form). Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ■ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Date

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1459 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/734,206	12/12/2000	Trevor Douglas	50198-154	1984
75	90 07/21/2005		EXAM	INER
McDERMOTT, V			PARKIN, JEFFREY S	
600 13th Street, N. Washington, DC 20			ART UNIT	PAPER NUMBER
washington, Do 20			1648	
			DATE MAILED: 07/21/2009	5

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 778 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 778 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571) 272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

	Application No.	Applicant(s)	
	09/734,206	DOUGLAS ET AL.	
Notice of Allowability	Examiner	Art Unit	
	Jeffrey S. Parkin, Ph.D.	1648	
The MAILING DATE of this communication apperature All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in to or other appropriate communicements. This application is su	his application. If not included ication will be mailed in due course	
1. X This communication is responsive to the Appeal Brief and	Amendment filed 29 July, 200	<u>3</u> .	
2. X The allowed claim(s) is/are 21-29 and 31-38, renumbered			
3. The drawings filed on are accepted by the Examine	r.		
 Acknowledgment is made of a claim for foreign priority un a) ☐ All b) ☐ Some* c) ☐ None of the: 	nder 35 U.S.C. § 119(a)-(d) or	(f) .	
 Certified copies of the priority documents have 	been received.		
Certified copies of the priority documents have	• •		
Copies of the certified copies of the priority do	cuments have been received	n this national stage application fro	m the
International Bureau (PCT Rule 17.2(a)).			
* Certified copies not received:			
 Acknowledgment is made of a claim for domestic priority ur reference was included in the first sentence of the specifica 			CITIC
(a) The translation of the foreign language provisional a	pplication has been received.		
 Acknowledgment is made of a claim for domestic priority ur in the first sentence of the specification or in an Application 		121 since a specific reference was	included
Applicant has THREE MONTHS FROM THE "MAILING DATE" of below. Failure to timely comply will result in ABANDONMENT of t			
 A SUBSTITUTE OATH OR DECLARATION must be submi INFORMAL PATENT APPLICATION (PTO-152) which give 			: OF
 CORRECTED DRAWINGS (as "replacement sheets") mus (a) ☐ including changes required by the Notice of Draftspers 1) ☐ hereto or 2) ☐ to Paper No 	on's Patent Drawing Review (•	
(b) ☐ including changes required by the proposed drawing or			
(c) ☐ including changes required by the attached Examiner's	s Amendment / Comment or in	the Office action of Paper No	<u> </u>
Identifying indicia such as the application number (see 37 CFR 1. each sheet. Replacement sheet(s) should be labeled as such in the			of
9. DEPOSIT OF and/or INFORMATION about the deposate the deposate of the depo	sit of BIOLOGICAL MATER HE DEPOSIT OF BIOLOGICA	RIAL must be submitted. Note the NATERIAL.	е
Attachment(s)			
1☐ Notice of References Cited (PTO-892)	5☐ Notice of Inform	mal Patent Application (PTO-152)	
2☐ Notice of Draftperson's Patent Drawing Review (PTO-948)		mary (PTO-413), Paper No	
3 Information Disclosure Statements (PTO-1449 or PTO/SB/08 Paper No), 7☐ Examiner's Am	nendment/Comment	
4 Examiner's Comment Regarding Requirement for Deposit of Biological Material	8⊠ Examiner's Sta 9∐ Other	atement of Reasons for Allowance	7
		LAURIE SCHEINER PRIMARY EXAMINER	

U.S. Patent and Trademark Office PTOL-37 (Rev. 11-03) Serial No.: 09/734,206 Docket No.: 50198-154

Applicants: Douglas, T., and M. J., Young Filing Date: 12/12/00

Reasons for Allowance

1. The following is an Examiner's Statement of Reasons for Allowance: Upon further reconsideration of the Appeal Brief and accompanying amendment filed 29 July, 2003, it has been decided that the responses adequately address the concerns raised in the last Office action. Accordingly, the previous rejections are hereby withdrawn and the claims are deemed to be in condition for allowance. Any comments considered necessary by applicant must be submitted no later than the payment of the Issue Fee and, to avoid processing delays, should preferably accompany the Issue Fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

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Correspondence

- 2. The Art Unit location of your application in the Patent and Trademark Office has changed. To facilitate the correlation of related papers and documents for this application, all future correspondence should be directed to art unit 1648.
- 3. Correspondence related to this application may be submitted to Group 1600 by facsimile transmission. The faxing of such papers must conform with the notice published in the Official Gazette, 1096 OG 30 (November 15, 1989). Official communications should be directed toward the following Group 1600 fax number: (703) 872-9306. Any inquiry concerning this communication should be directed to Jeffrey S. Parkin, Ph.D., whose telephone number is (703) 308-2227. The examiner can normally be reached Monday through Thursday from 8:30 AM to 6:00 PM. A message may be left on the examiner's voice mail service. If attempts to reach the examiner are unsuccessful, the examiner's supervisors, Laurie Scheiner or James Housel, can be reached at (703) 308-1122 or (703) 308-4027, respectively. Any inquiry of a general nature or relating to the status of this application should be directed to the Group 1600 receptionist whose telephone number is (703) 308-0196.

Post-Allowance Correspondence

4. All post-allowance correspondence concerning this application should be mailed to the following address:

Serial No.: 09/734,206 Applicants: Douglas, T., and M. J., Young

BOX ISSUE FEE COMMISSIONER FOR PATENTS WASHINGTON, DC 20231

- Alternatively, post-allowance communications (i.e., 37 C.F.R. § 1.312 amendments, formal drawings, etc.) can be faxed to the OFFICE OF PATENT PUBLICATIONS at (703) 308-5083 to facilitate their expeditious handling. Do not send post-allowance communications to the Technology Center since this will result in paper matching delays.
 - 5. For information concerning the status of an allowed application and other matters please contact the appropriate branch as follows:
- Status of correspondence after receipt of the Notice of Allowance: contact the Correspondence Branch at (703) 305-8027.
 - Notices of Abandonment for failure to pay the issue fee or failure to submit formal drawings: contact (703) 305-5358 or (703) 305-5340.
 - Issue fees that have not been paid in at least 3 months, but no issue notification has been received: contact (703) 305-8263.
- Failure to receive the Letters of Patent: contact (703) 305-8263.
 - Application status after payment of the issue fee, status of issue fee payment, status of receipt of issue fee, status of allowed applications after receipt of the drawings or fees, and application status after receipt of formal drawings after allowance: contact Customer Service at one of the following numbers: (888) 786-0101, (703) 308-6789, (703) 305-8497, (703) 305-8495, and (703) 308-6790.

Respectfully,

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Jeffrey S. Parkin, Ph.D.

Patent Examiner Art Unit 1648

20 October, 2003

LAURIE SCHEINER PRIMARY EXAMINER